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The Privacy Policy of Shilanski & Associates Inc.

Shilanski & Associates, Inc., is committed to safeguarding the confidential information of its clients. This includes all personal data that we collect from you in connection with any of the services provided by Shilanski & Associates, Inc.

We collect personal information about you for business purposes, such as evaluating your financial needs, processing your requests and transactions, informing you about products and services that may be of interest to you, and providing routine customer service. We collect non- public information about you from the following sources:

- Information we receive from you on applications and other forms (including but not limited to your name, date of birth, address, telephone number, email address, social security number, employment information, assets, income, investment experience, and health information);
- Information about your transactions with us; and
- Information we receive from consumer reporting agencies and other entities not affiliated with Shilanski & Associates, Inc.

We limit access to non-public, personal information to those employees, your representatives, and agents who have a need to know in order to conduct business, service your account, keep you informed, and help you accomplish your financial objectives, such as providing you with a broad range of services and products. Our employees, representatives, and agents are required to maintain and protect the confidentiality of your personal information and must follow established privacy procedures. In addition, we will maintain physical, electronic, and procedural safeguards that meet federal and/or state standards to guard your non-public, personal information.

In the regular course of our business, we may disclose some or all of the non-public, personal information described above with our affiliates and non-affiliated third parties as necessary to

effect, administer, or enforce transactions that you request or authorize, or in connection with processing or servicing a financial product or service that you request or authorize.

The non-affiliated third parties with which we may share non-public client information include financial service providers, such as clearing firms, mutual fund companies, mortgage brokers, investment money managers, broker-dealers, and insurance companies with whom we do business with, and in many cases, with whom the client has conducted business with as well. Their involvement is essential to the provision of overall service provided by Shilanski & Associates, Inc. to its clients. The information shared with these non-affiliated companies is not sold or rented to them, nor is it shared with them for marketing or solicitation purposes.

We will Shilanski & Associates, Inc., is an independent firm with securities offered through Summit Brokerage Services, Inc., Member FINRA and SIPC.

Advisory services offered through Shilanski & Associates, Inc., a Registered Investment Advisor. Shilanski & Associates Inc., is not employed by the United States Federal Government and does not represent the United States Federal Government.

share non-public information with non-affiliated companies only when they agree to uphold and maintain our privacy standards when handling a customer's personal information.

In addition, we may disclose non-public information with non-affiliated companies and regulatory authorities as permitted or required by applicable law. For example, we may disclose personal information to cooperate with regulatory authorities and law enforcement agencies, or with attorneys, accountants, or auditors as necessary to protect our rights and property. Except as described in this privacy policy, we will not use your personal information for any other purpose unless we describe how such information will be used at the time you disclose it to us or we obtain your permission to do so.

Personally identifiable information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities and insurance laws. After this required period of record retention, all such information will be archived or destroyed. In all other respects, the information about former clients is treated in the same manner as information about current clients.

To provide the utmost in service, we may disclose information regarding customers and/or former customers, as necessary, to companies in order for them to perform certain services on our behalf or to other financial institutions with whom we have joint marketing agreements, or as required by law.

The Firm will internally safeguard your non-public personal information by restricting access to only those employees who provide products or services to you or those who need access to your information to service your account. In addition, we will maintain physical, electronic, and procedural safeguards that meet federal and/or state standards to guard your non-public, personal information.

We strive to keep our customer records complete and accurate. We will give you reasonable access to information we have about you. Most of this information is contained in account statements that you receive and applications you submit to obtain our products and services. We encourage you to review this information and notify us if you believe any information should be corrected or updated.

If you have any question or concern about your personal information or this privacy notice, please contact us at (907) 278-1351.

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